(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 6 January 2005 (06.01.2005)

PCT

(10) International Publication Number WO 2005/000735 A3

(51) International Patent Classification⁷: H01L 29/76, 29/94, 31/062

(21) International Application Number:

PCT/US2003/037186

(22) International Filing Date:

19 November 2003 (19.11.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/427,616

19 November 2002 (19.11.2002) US

- (71) Applicant (for all designated States except US): WILLIAM MARSH RICE UNIVERSITY [US/US]; 6100 South Main, Houston, TX 77251-1892 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BARRON, Andrew, R. [GB/US]; 241 Asbury, Houston, TX 77007 (US). FLOOD, Dennis, J. [US/US]; 161 Forest Street, Oberlin, OH 44074 (US). WHITSITT, Elizabeth, A. [US/US]; 806 Lamonte Lane, Houston, TX 77018 (US). ANDERSON,

Robin, E. [US/US]; 7490 Brompton, #172, Houston, TX 77025 (US). **SCOTT, Graham, B., I.** [NZ/US]; 24507 Screech owl Ct., Katy, TX 77494 (US).

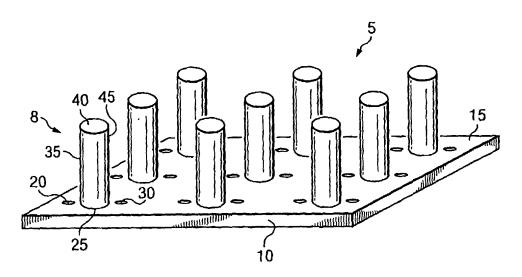
- (74) Agents: TUMEY, Tod, T. et al.; CONLEY ROSE, P.C., P. O. Box 3267, Houston, Texas 77253-3267 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

[Continued on next page]

(54) Title: METHOD FOR CREATING A FUNCTIONAL INTERFACE BETWEEN A NANOPARTICLE, NANOTUBE OR NANOWIRE, AND A BIOLOGICAL MOLECULE OR SYSTEM



(57) Abstract: A field effect transistor and a method for making the same. In one embodiment, the field effect transistor comprises a source; a drain; a gate; at least one carbon nanotube on the gate; and a dielectric layer that coats the gate and a portion of the at least one carbon nanotube, wherein the at least one carbon nanotube has an exposed portion that is not coated with the dielectric layer, and wherein the exposed portion is functionalized with at least one indicator molecule. In other embodiments, the field effect transistor is a biochem-FET

00735 43

WO 2005/000735 A3



 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(88) Date of publication of the international search report:

28 April 2005

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/37186

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) : H01L 29/76,29/94,31/062		
US CL: 257/288,E51.038,E51.04; 977/DIG/1		
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) U.S.: 257/288,E51.038,E51.04; 977/DIG/1		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST, NATURE, SCIENCE, search terms: nanotube, nanowire, DNA, sensor, and/or functionalization		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category * Citation of document, with indication, where a		Relevant to claim No.
A US 2002/0117669 A1 (LIEBER et al.) 29 August 20	US 2002/0117669 A1 (LIEBER et al.) 29 August 2002 (29.08.2002), see entire document.	
A US 2002/0130333 A1 (WATANABE et al.) 19 Sept	US 2002/0130333 A1 (WATANABE et al.) 19 September 2002 (19.09.2002), Figs. 1-11.	
A KONG et al., Nanotube Molecular wires as Chemical Sensors, Science, 28 January 2000, Vo. 287, pages 622-625, Figs. 1-3		1-36
	1	
Further documents are listed in the continuation of Box C.	See patent family annex.	
* Special categories of cited documents:	"T" later document published after the inter date and not in conflict with the applica	tion but cited to understand the
"A" document defining the general state of the art which is not considered to be of particular relevance	principle or theory underlying the inver	
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the c considered novel or cannot be considered when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive step	when the document is
"O" document referring to an oral disclosure, use, exhibition or other means	combined with one or more other such documents, such combination being obvious to a person skilled in the art	
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent fa	amily
Date of the actual completion of the international search	Date of mailing of the international search report	
08 February 2005 (08.02.2005)	01 MAR 2005	
Name and mailing address of the ISA/US	Authorized officer have Si Heppel	
Mail Stop PCT, Attn: ISA/US Commissioner of Patents	Shouxiang Hu ONULL OF HATT	
P.O. Box 1450	Telephone No. 571-272-1950	
Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	10.cpnone 110. 5/1-2/2-1550	